

03-30-01

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## EXPRESS MAIL CERTIFICATE

Date 3/29/01 Label No. 858598211Us

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

DB Beck [Signature]  
Name (Print) Signature

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1c903 U.S. PTO  
09/821434  
03/28/01

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No: 4970/OJ035

**DARBY & DARBY P.C.**

805 Third Avenue  
New York, New York 10022  
212-527-7700

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Koji FUJIYAMA

Title: CONTROL APPARATUS FOR OPTICAL PICKUP AND OPTICAL DISC APPARATUS

including the items indicated:

1. Specification and 4 claims: 2 indep.; 2 dep.;    multiple dep.; including 18 page(s) of written description; 2 page(s) of claims; 1 page(s) of abstract.
2. ☒ Drawings, 10 sheets  
(Figs. 1, 2A-2D, 3A-3E, 4A-4E, 5A-5B, 6-9, 10A-10B)
3. ☒ Executed Declaration/Power of Attorney  
☐ Unexecuted Declaration/Power of Attorney

4. ☐ Application Data Sheet under 37 C.F.R. §1.76.
5. ☒ Assignment for recording to: SANYO ELECTRIC CO., LTD.

☐ Assignment was recorded at Reel No. , Frame No. , on .

☒ Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee: SANYO ELECTRIC CO., LTD.,  
5-5, KEIHAN-HONDORI, 2-CHOME,  
MORIGUCHI-SHI, OSAKA 570-8677, JAPAN

6. ☐ The applicant claims small entity status.
7. ☒ Priority is claimed under 35 U.S.C. §119(b) of:

Country: JAPAN  
Number: 2000-092168  
Date: MARCH 29, 2000

A certified copy of the prior document ☒ is enclosed ☐ will follow  
☐ was filed in a previous application.

8. ☐ Priority is claimed under 35 U.S.C. §119(e) of:

Number:  
Date:


A certified copy of the prior document ☐ is enclosed ☐ will follow  
☐ was filed in a previous application.

9. ☐ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.

10. ☒ Payment in amount of \$750.00, (\$710 filing; \$40 recording)  
in the form of  
☒ check  
☐ deposit account no. 04-0100  
☐ credit card (see attached form)  
(See attached **Fee Computation Sheet**)
11. ☐ Preliminary Amendment
12. ☒ Information Disclosure Statement
13. ☐ Nucleotide or Amino Acid Sequence Listing.
- ☐ Hard Copy                      ☐ CRF

Date: March 29, 2001

Respectfully submitted,

  
Joseph B. Lerch  
Reg. No. 26,936  
Attorney for Applicant(s)

Serial No.

Docket No. 4970/OJ035

PATENT FEE COMPUTATION SHEET

|                                                                           | No. of Claims<br>Presented | Extra Claims<br>Previously Paid<br>For | Number of<br>Extra Claims | Rate     |
|---------------------------------------------------------------------------|----------------------------|----------------------------------------|---------------------------|----------|
| Basic Fee . . . . .                                                       |                            |                                        |                           | \$710.00 |
| Total Claims                                                              | 4 - 20                     | - 0 = 0                                | x \$18.00                 | \$0.00   |
| Independent<br>Claims                                                     | 2 - 3                      | - 0 = 0                                | x \$80.00                 | \$0.00   |
| Multiple Dependent Claims                                                 |                            | - if so, add                           | \$270.00                  | \$0.00   |
| Surcharge for late submission of filing fee and/or declaration (\$130.00) |                            |                                        |                           | \$0.00   |
| SUBTOTAL . . . . .                                                        |                            |                                        |                           | \$710.00 |
| [ ] Small Entity REDUCTION (Half of Subtotal) . . . . .                   |                            |                                        |                           | \$0.00   |
| Fee for recordation of assignment (\$40.00) . . . . .                     |                            |                                        |                           | \$40.00  |
| Charge for filing non-English language application (\$130.00) . . . . .   |                            |                                        |                           | \$0.00   |
| TOTAL . . . . .                                                           |                            |                                        |                           | \$750.00 |